

9-9-03

Attorney Docket No. 065238.0128

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of:

STARIKOV, et al.

Serial No.: 10/642,907

Filed: 08/18/2003

Title: "ONE-CHIP MICRO-INTEGRATED  
OPTOELECTRONIC SENSOR"

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Group Art Unit: *Unassigned*

Examiner: *Unassigned*

Atty. Docket No.: 065238.0128

CERTIFICATE OF MAILING VIA EXPRESS MAIL  
37 C.F.R. 1.10

I HEREBY CERTIFY THAT I HAVE INFORMATION AND A REASONABLE  
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**TRANSMITTAL OF VERIFIED STATEMENT  
CLAIMING SMALL ENTITY STATUS -- NONPROFIT ORGANIZATION**

Sir:

With regard to the above-referenced divisional patent application, and pursuant to 37 C.F.R. §1.27(a)(3)(ii)(A), Applicants respectfully submit herewith a "Verified Statement Claiming Small Entity Status for a NonProfit Organization," executed by a representative of the Assignor, the University of Houston.

Applicants respectfully submit this Verified Statement as determination of entitlement to pay small entity fees during the prosecution of the present divisional application,

and further respectfully request that it be entered into the file wrapper of this divisional application.

Should the Applicants' status as a small entity change at any time during the prosecution of this divisional application, Applicants will submit a Notification of Loss of Entitlement to Small Entity Status at such apposite time.

Applicants believe that no fee is required with the submission of this "Verified Statement Claiming Small Entity Status". However, should the Commissioner deem that any fee is due, Applicants respectfully request that the Commissioner accept this as a Petition Therefor, and further authorize the Commissioner to debit any fee which is due from Baker Botts L.L.P. **Deposit Account No. 02-0383, Order No. 065238.0128.**

Also enclosed with this filing is a return receipt postcard to acknowledge receipt of the above-mentioned items.

Respectfully submitted,

BAKER BOTTS L.L.P. (023640)

By: 

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ATTORNEY FOR APPLICANTS

Date: September 8, 2003



**VERIFIED STATEMENT CLAIMING SMALL ENTITY STATUS  
-- NONPROFIT ORGANIZATION**

Applicant: **STARIKOV, ET AL.**  
Filing Date: **08/18/2003**  
Attorney's Docket No.: **065238.0128**

Serial No.: **10/642,907**  
Patent No.:  
Issued:

Title: **"ONE-CHIP MICRO-INTEGRATED OPTOELECTRONIC SENSOR"**

I Hereby Declare That I Am:

- ☐ The Owner Of The NonProfit Organization Identified Below.  
☒ An Official Of The NonProfit Organization Empowered To Act On Behalf Of The Concern Identified Below.

NAME OF NONPROFIT ORGANIZATION: **UNIVERSITY OF HOUSTON**  
ADDRESS OF NONPROFIT ORGANIZATION: **4800 CALHOUN STREET, HARRIS COUNTY  
HOUSTON, TEXAS 77204**

I hereby declare that the above identified small entity concern qualifies as a nonprofit organization as defined in 37 C.F.R. 1.27 (a)(3)(ii)(A) for purposes of paying reduced fees to the United States Patent and Trademark Office in that the small entity concern has not assigned, granted, conveyed or licensed, and is under no obligation under contract or law to assign, grant, convey or license any rights in the invention to any person, concern or organization which would not qualify as a person, small business concern or a nonprofit organization, and is a university or other institution of higher learning located in the United States.

I hereby declare that rights under contract or law have been conveyed to and remain with the nonprofit organization identified above with regard to the invention described in:

- ☐ the specification filed herewith with title as listed above.  
☒ the application identified above.  
☐ the patent identified above.

If the rights held by the above identified nonprofit organization are not exclusive, each individual, concern or organization having rights to the invention must file separate verified statements averring to their status as small entities, and no rights to the invention are held by any person, other than the inventor, who would not qualify as an independent inventor under 37 CFR 1.9(c) if that person made the invention, or by any concern which would not qualify as a small business concern under 37 CFR 1.9(d), or a nonprofit organization under 37 CFR 1.9(e).

Each person, concern, or organization having any rights in the invention is listed below:

- ☒ no such person, concern, or organization exists.  
☐ each such person, concern, or organization is listed below.

I acknowledge the duty to file, in this application or patent, notification of any change in status resulting in loss of entitlement to small entity status prior to paying, or at the time of paying, the earliest of the issue fee or any maintenance fee due after the date on which status as a small entity is no longer appropriate. (37 CFR 1.28(b)).

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under section 1001 of Title 18 of the United States Code, and that such willful false statements may jeopardize the validity of the application, any patent issuing thereon, or any patent to which this verified statement is directed.

NAME OF PERSON SIGNING (Please print): Arthur C. Vailas, Ph.D.  
TITLE IF OTHER THAN OWNER: Vice Chancellor for Research and Intellectual  
Property Management  
ADDRESS OF PERSON SIGNING: 316 E. Cullen Building  
Houston, TX 77204-2015

SIGNATURE:  DATE: 8/21/03